

THORPE
NORTH &
WESTERN LLP
SINCE 1979
THE TEAM APPROACH TO PREMIER PERFORMANCE®

INTELLECTUAL PROPERTY LAW

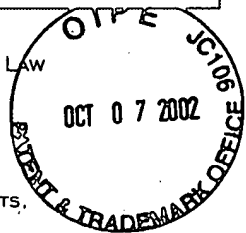
PATENTS, TRADEMARKS,

COPYRIGHTS, UNFAIR

COMPETITION, TRADE SECRETS,

LITIGATION, DISPUTE RESOLUTION

AND RELATED MATTERS



SALT LAKE AREA OFFICE
8180 SOUTH 700 EAST, SUITE 200
SANDY, UTAH 84070-0562
801.566.6633
801.566.0750 FAX
PATLAW@TNW.COM
WWW.TNW.COM

U.S. MAILING ADDRESS
P.O. BOX 1219
SANDY, UTAH 84091-1219

LAS VEGAS AREA OFFICE
OF COUNSEL: NEIL J. BELLER †
7408 WEST SAHARA
LAS VEGAS, NEVADA 89117
702.368.7767

† ADMITTED IN NEVADA
October 3, 2002

2832
#5
1-24-03

VAUGHN W. NORTH
M. WAYNE WESTERN
CLIFTON W. THOMPSON
GARRON M. HOBSON
PETER M. DE JONGE
STEVE M. PERRY
WEILI CHENG, PH.D.
DAVID R. MCKINNEY, PE.
GARY P. OAKESON
DAVID W. OSBORNE
KEITH HARGROVE
DAVID L. STOTT
JASON R. JONES
REGISTERED PATENT ATTORNEYS

ERIK S. ERICKSEN, M.S.
REGISTERED PATENT AGENT

TODD B. ALDER, PH.D.
JONATHAN M. BENNS, PH.D.
TECHNICAL CONSULTANTS

CALVIN E. THORPE
(1938-1999)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

DEC 16 2002

Technology Center 2600

Sir:

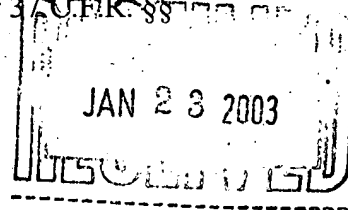
Transmitted herewith for filing and pursuant to 37 C.F.R. §§ 1.56 and 1.97 is a
Supplemental Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§
1.97 and 1.98:

- ☒ Form PTO-1449 list of 8 references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☒ All English translations of each non-English reference, if any, within the
possession, custody, control or availability of anyone designated in 37 C.F.R. §
1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and
as required under 37 C.F.R. § 1.98:

- Concise explanation of relevance of each reference not in English and
unaccompanied by an English translation.
- Statement that certain listed references not enclosed are substantially cumulative
of an enclosed reference.



50

— Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

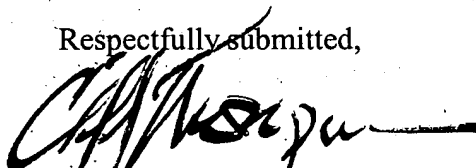
— Statement under 37 C.F.R. § 1.97(e)(1) or (2).

— Check No. _____ in the amount of \$ (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).

In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Statement meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Dated this 3rd day of October, 2002.

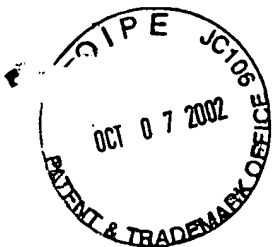
Respectfully submitted,



Clifton W. Thompson
Customer No. 20551
Attorney for Applicant
Registration No. 36,947
Docket No. T9573.NP
Application Ser. No. 10/055,821

THORPE NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, UT 84091-1219
Telephone (801) 566-6633

CWT:ja



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Croft III, et al.
SERIAL NO.: 10/075,936
FILED: Jan. 25, 2002
CONFIRM. NO.: 2517
FOR: PLANAR-MAGNETIC SPEAKERS
WITH SECONDARY MAGNETIC
STRUCTURE
ART UNIT: 2838
DOCKET NO.: T9574.NP

CERTIFICATE OF DEPOSIT
UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, under 37 C.F.R. § 1.8 on the date indicated below and is addressed to Assistant Commissioner of Patents, Washington, D.C. 20231

Clinton W. Thompson
Clinton W. Thompson

30 October 2002
Date of Deposit

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

RECEIVED

Assistant Commissioner of Patents
Washington, D.C. 20231

DEC 16 2002

Technology Center 2600

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to:

☒ 37 C.F.R. § 1.97 (b)(1) or (3), within three months of the filing date of the application, or before a first office action on the merits, whichever occurs last;

☐ 37 C.F.R. § 1.97 (c), after a first office action on the merits, but before a Final Office Action or a Notice of Allowance, whichever occurs first, and is accompanied by either 1) a statement in accordance with 37 C.F.R. § 1.97(e), or 2) the fee set forth in § 1.17(p); or

☐ 37 C.F.R. § 1.97 (d), after a Final Office Action or Notice of Allowance, whichever occurs first, but on or before payment of the issue fee, and is accompanied by both 1) a statement in accordance with 37 C.F.R. §

RECEIVED
OCT -9 2002
TECHNOLOGY CENTER 2800

1.97(e), and 2) the fee set forth in § 1.17(p).

While no representation is made that any of these references may be "prior art" within the meaning of that term in accordance with 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

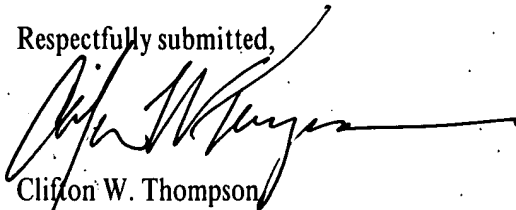
In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

DATED this 24th day of October, 2002.

Respectfully submitted,



Clifton W. Thompson
Attorney for Applicant
Registration No. 36,947

THORPE, NORTH & WESTERN, L.L.P.
P.O. Box 1219
Sandy, Utah 84091-1219
Telephone (801) 566-6633

CWT:ja